

# MAX VENTURES INVESTMENT HOLDINGS PRIVATE LIMITED

Registered Office: Max House, 1, Dr. Jha Marg, Okhla, New Delhi – 110 020

Phone : +91 11 4259 8000 Fax: +91 11 4060 0098

CIN: U74899DL1988PTC030778

Email Id: secretarial@maxventures.co.in

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September 23, 2020

The Secretary  
Max Financial Services Limited  
Railmajra, Tehsil Balachaur,  
Distt. Nawanshahr  
Punjab - 144533

**Sub. Disclosure under Regulation 7(2) read with Regulation 6(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015**

Please find enclosed disclosures in terms of Regulation 7(2) read with Regulation 6(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

You are requested to take note of the above.

Thanking you,

Yours faithfully,

For **Max Ventures Investment Holdings Pvt. Ltd.**



**Arvind Aggarwal**  
**(Director)**

Encl. as above

**FORM LC**  
**SEBI (Prohibition of Insider Trading) Regulations, 2015 [Regulation 7 (2) read with Regulation 6(2) – Continual Disclosure]**

Name of the Company : Max Financial Services Limited  
ISIN of the Company : INE180A01020

1	Name, PAN, CIN/ DIN, & address with contact nos.	2	Category of Person (Promoters / KMP / Directors/ immediate relative to/ others etc.)	3		4		5			6			7*		8	9		10	11		12	13	14
				Type of security (For e.g. – Shares, Warrants, Convertible Debentures etc.)	No. and % of share holding	Type of security (For eg.- Shares, Warrants, Convertible Debentures etc.)	No.	Value	Transaction Type (Buy/ Sale/ Pledge/ /Revoke/ Invoke)	Type of security (For share holding e.g. – Shares, Warrants, Convertible Debentures etc.)	No. and % of	From	To	Date of intimation to company	Mode of acquisition / disposal (on market / public/ rights/ preferential offer / off market / Inter-se transfer, ESOPs etc.)									
1	<b>Max Ventures Investment Holdings Pvt. Limited</b> PAN: AAACD0213H CIN: U74899DL1988 PTC030778 <b>Address:</b> Max House, 1 Dr. Jha Marg, Okhla, New Delhi – 110 020 <b>Phone : +91 11 4259 8000</b>	Promoter	Directors/ immediate relative to/ others etc.)	Shares	69783275 (25.89%) (including pledge / release of shares mentioned at Sl. No. 6)	Shares	390000 (0.14%)	234078000	Creation of pledge	Shares	69783275 (25.89%) (including pledge / release of shares mentioned at Sl. No. 6)	21.09.2020 (Creation of pledge)	21.09.2020 (Creation of pledge)	23.09.2020	Creation of pledge	22.09.2020 (Creation of pledge)	22.09.2020 (Release of Shares)	22.09.2020 (Release of Shares)	23.09.2020	23.09.2020	Creation of pledge	Release of Shares		

**Note:** "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

\*Based on NSE closing price on the date of release/ pledge.

**Details of trading in derivatives of the company by Promoter, Employee or Director of a listed company and other such persons as mentioned in Regulation 6(2).**

Type of contract	Contract specifications	Trading in derivatives (Specify type of contract, Futures or Options etc.)						Exchange on which the trade was executed
		Buy		Sell		Number of units (contracts * lot size)	Number of units (contracts * lot size)	
		Notional Value	Number of units (contracts * lot size)	Notional Value	Number of units (contracts * lot size)			
15	16	17	18	19	20	21		
N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	

*Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.*

For MAX VENTURES INVESTMENT HOLDINGS PRIVATE LIMITED

  
**ARVIND AGGARWAL**  
 DIRECTOR