

# MAX VENTURES INVESTMENT HOLDINGS PRIVATE LIMITED

Registered Office: Max House, 1, Dr. Jha Marg, Okhla, New Delhi – 110 020

Phone : +91 11 4259 8000 Fax: +91 11 4060 0098

CIN: U74899DL1988PTC030778

Email Id: secretarial@maxventures.co.in

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November 15, 2021

The Secretary  
Max Financial Services Limited  
Railmajra, Tehsil Balachaur,  
Distt. Nawanshahr  
Punjab - 144533

**Sub. Disclosure under Regulation 7(2) read with Regulation 6(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015**

Dear Sir/Madam,

Please find enclosed disclosures in terms of Regulation 7(2) read with Regulation 6(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

You are requested to take note of the above.

Thanking you,

Yours faithfully,

For **Max Ventures Investment Holdings Pvt. Ltd.**



**Arvind Aggarwal**  
**(Director)**

Encl. as above

**FORM C**  
**SEBI (Prohibition of Insider Trading) Regulations, 2015 [Regulation 7(2) read with Regulation 6(2) – Continual Disclosure]**

Name of the Company : Max Financial Services Limited  
ISIN of the Company : INE180A01020

Name, PAN, CIN/ DIN, & address with contact nos.	Category of Person (Promoter /member of the promoter group/ designated person/ Directors / immediate relative to / others etc.)	Securities held prior to acquisition/ disposal		Securities acquired/Disposed			Securities held post acquisition / disposal		Date of allotment advice / acquisition of shares/ sale of shares specify		Date of intimation to company	Mode of acquisition / disposal (on market / public/ rights/ preferential offer / off market / Inter-se transfer, ESOPs etc.)	Exchange on which the trade was executed	
		Type of security (For eg. – Shares, Warrants, Convertible Debentures, Rights entitlements etc.)	No. and % of share holding	Type of security (For eg. – Shares, Warrants, Convertible Debentures, Rights entitlement, etc.)	No.	Value	Transaction Type (Purchase / sale / Pledge / Revocation / Invocation / Others- please specify)	Type of security (For eg. – Shares, Warrants, Convertible Debentures, Rights entitlement, etc.)	No. and % of share holding	From				To
1 <b>Max Ventures Investment Holdings Pvt. Limited</b> PAN: AAACD0213H CIN: U74899DL1988 PTC030778 <b>Address:</b> Max House, 1 Dr. Jha Marg, Okhla, New Delhi – 110 020 <b>Phone :</b> +91 11 4259 8000	Promoter	Shares	4 50380920 (14.60%) (Including pledge / release of shares mentioned at Sl. No. 6)	Shares	6 155000 (0.04%)	7*	8 Creation of Pledge on Shares	9 Shares	10 50380920 (14.60%) (Including pledge / release of shares mentioned at Sl. No. 6)	11 11.11.2021 (Creation of Pledge)	12 11.11.2021 (Creation of Pledge)	13 15.11.2021	14 Creation of Pledge on shares	15 NA

**Note:** "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

\*Based on NSE closing price on the date of release/ pledge.

Details of trading in derivatives of the company by Promoter, Employee or Director of a listed company and other such persons as mentioned in Regulation 6(2).

Type of contract	Contract specifications	Trading in derivatives (Specify type of contract, Futures or Options etc.)				Exchange on which the trade was executed
		Buy		Sell		
		Notional Value	Number of units (contracts * lot size)	Notional Value	Number of units (contracts * lot size)	
16	17	18	19	20	21	22
N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.

*Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.*

For MAX VENTURES INVESTMENT HOLDINGS PRIVATE LIMITED



ARVIND AGGARWAL  
DIRECTOR

Date: November 15, 2021

Place: Noida